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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/934,293	08/21/2001	Naoya Hasegawa	9281-4158	8310

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EXAMINER
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OMETZ, DAVID LOUIS

ART UNIT	PAPER NUMBER
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2653

DATE MAILED: 09/22/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/934,293

Applicant(s)

HASEGAWA, NAOYA

Examiner

David L. Ometz

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 30 June 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 3.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

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1. Applicant's election without traverse of Group I, claims 1-12 in Paper No. 5 is acknowledged.
2. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.
3. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.
4. Claims 1, 7, 9 are objected to because of the following informalities: in claim 1, line 9, "the track" should be changed to --a track--; in claim 7, line 2, the dependency should be changed from "6" to --1--; in claim 9, line 2, the dependency should be changed from "1" to --8-- for antecedent purposes. Appropriate correction is required.
5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1-5, 8, 9, 12 are rejected under 35 U.S.C. 102(b) as being anticipated by Nakamoto et al (US Pat 5936810).

As per claim 1, Nakamoto et al shows a spin valve thin film magnetic element in figure 3 comprising: a pair of nonmagnetic conductive layers 20/34, a pair of pinned magnetic layers 22,24/36,38, and a pair of antiferromagnetic layers 16/40 for respectively pinning the magnetization directions of the pair of pinned magnetic layers, which are laminated in turn on both sides of a free magnetic layer 18 in the thickness direction to form a laminate on a substrate;

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a pair of bias layers 28 located on both sides of the laminate in the track width direction, for orienting the magnetization direction of the free magnetic layer in the direction crossing the magnetization direction of each of the pinned magnetic layer;; and a pair of lead layers 14 laminated on the bias layers, for supplying a sensing current to the laminate; wherein of the pair of antiferromagnetic layers, at least the antiferromagnetic layer 40 apart from the substrate is made narrower than the free magnetic layer 18 in the track width direction to form lead connecting portions of the laminate on both sides of the narrow antiferromagnetic layer 40 in the track width direction; and the pair of lead layers are extended from both sides of the laminate in the track width direction to the center of the laminate and connected to the laminate through the pair of lead connecting portions.

As per claim 2, Nakamoto et al shows a spin valve thin film magnetic element wherein in addition to the narrow antiferromagnetic layer 40, at least a portion or the whole of the pinned magnetic layer 36,38 adjacent to the antiferromagnetic layer is made narrower than the free magnetic layer 18 to form lead connecting portions of the laminate on both sides of the narrow antiferromagnetic layer and pinned magnetic layer, and the pair of lead layers are extended from both sides of the laminate in the track width direction to the center thereof and connected to the laminate through the pair of lead connecting portions.

As per claim 3, Nakamoto et al shows wherein in addition to the narrow antiferromagnetic layer 40, the pinned magnetic layer 36,38 adjacent to the narrow antiferromagnetic layer and a portion the nonmagnetic conductive layer 34 adjacent to the pinned magnetic layer are made narrower than the free magnetic layer 18 to form lead connecting portions of the laminate on both sides of the narrow antiferromagnetic layer, pinned magnetic

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layer and nonmagnetic conductive layer, and the pair of lead layers are extended from both sides of the laminate in the track width direction to the center thereof and connected to the laminate through the pair of lead connecting portions.

As per claim 4, Nakamoto et al shows wherein the pair of the connecting portions respectively comprise notch portions formed on the side apart from the substrate to be located at both ends of the laminate in the track width direction, and the width of each of the lead connecting portions in the track width direction is in the range of 0.03 to 0.5 microns ("0.5 microns", see col. 9, lines 25-28).

As per claim 5, Nakamoto et al shows wherein the pair of bias layers 28 are adjacent to the free magnetic layer 18 to be located at the same layer position as at least the free magnetic layer, and the upper surfaces of the pair of bias layers are joined to the laminate at positions nearer to the substrate than the lead connecting portions so that only the pair of lead layers are connected to the pair of lead connecting portions.

As per claim 8, Nakamoto et al shows wherein of the pair of antiferromagnetic layers, the antiferromagnetic layer 16 located near to the substrate is formed to extend beyond the free magnetic layer 18 in the track width direction so that the bias layers 28 are laminated on the extensions of the antiferromagnetic layer 16.

As per claim 9, Nakamoto et al shows wherein the bias layers 28 are laminated, through bias underlying layers 30 made of Ta or Cr, on the extensions of the antiferromagnetic layer 16 located near to the substrate.

As per claim 12, Nakamoto et al shows wherein the laminate comprises an inherent central sensitive zone which has high reproduction sensitivity and can substantially exhibit a

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magnetoresistive effect, and inherent outer dead zones which are formed on both sides of the sensitive zone in the track width direction and have low reproduction sensitivity, and which cannot substantially exhibit the magnetoresistive effect; and wherein the pair of lead connecting portions formed at both ends of the laminate are formed on the dead zones of the laminate, and the pair of lead layers 14 are formed to extend from both sides of the laminate in the track width direction to the dead zones and to adhere to the laminate.

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 6, 7, 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nakamoto et al in view of Lin et al (US Pat 6175477). Nakamoto et al shows a laminated spin valve sensor as noted above. However, Nakamoto et al does not show wherein each of the pair of the pinned magnetic layers comprises a laminate of at least two ferromagnetic layers and a nonmagnetic intermediate layer inserted between these ferromagnetic layer:, and the magnetization directions of the adjacent ferromagnetic layers are antiparallel to each other to bring the whole pinned magnetic layer into a ferrimagnetic state. Nakamoto et al also does not show wherein each of the pair of antiferromagnetic layers comprises any one of XMn alloys and PtX'Mn alloys (wherein X represents one element selected from Pt, Pd, Ir, Rh, Ru, and Os, and X' represents at least one element selected from Pd, Cr, Ru, Ni, Ir, Rh, Os, Au, Ag, Ne, Ar, Xe and Kr).

Lin et al '477 shows a spin valve sensor in figure 9 that has a pinned magnetic layer 920 comprising a laminate of at least two ferromagnetic layers 922/924 and a nonmagnetic intermediate layer 926 inserted between these ferromagnetic layers, and the magnetization directions of the adjacent ferromagnetic layers are antiparallel to each other to bring the whole pinned magnetic layer into a ferrimagnetic state. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace the pinned layers of Nakamoto et al with the synthetic antiferromagnetic (SAF) pinned layer taught by Lin et al '477 as doing this would reduce the amount of detrimental stray magnetization reaching the free layer because of the "closed loop" design of the SAF pinned layer.

Lin et al '477 also discloses at col. 9, lines 24-28 the pinning antiferromagnetic layer being made of PtMn. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to use an antiferromagnetic substance such as PtMn because of the excellent antiferromagnetic properties and corrosion resistance of PtMn. Stable pinning of the pinned layer through exchange coupling with the PtMn antiferromagnetic layer will prevent unnecessary and harmful "pin relaxation" of the pinned layer.

9. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nakamoto et al in view of Lin et al (US Pat 6185078). Nakamoto et al shows a laminated spin valve sensor as noted above with an underlayer of Ta placed below the hard magnetic bias layers. However, Nakamoto et al does not show wherein intermediate layers made of Ta or Cr are respectively laminated between the bias layers and the lead layers.

Lin et al '078 shows a spin valve sensor in figure 11 that has intermediate layers 250/254 made of Ta which are respectively laminated between the bias layers 252 and the lead layers

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256. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to place Ta between the bias layers and the lead layers as doing this would aid in the growth morphology of the subsequent layers (i.e. the growth of the hard magnetic bias layer will benefit from the underlayer of Ta, while the growth of the lead layer will benefit from the other underlayer of Ta). The use of Ta underlayers in the longitudinal biasing/lead sections spin valve heads is old and well known in the art.

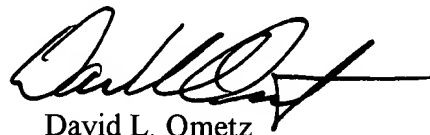
10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Yuan et al shows a spin valve head with antiferromagnetic layers on both sides of the free layer while Hasegawa et al shows a spin valve head with an underlayer underlying both the longitudinal bias layers and the lead layers.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David L. Ometz whose telephone number is (703) 308-1296.

The examiner can normally be reached on M-F, 6:00-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Korzuch can be reached on (703) 305-6137. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-4750.



David L. Ometz  
Primary Examiner  
Art Unit 2653

DLO  
9/15/03